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15 *Attorneys for Plaintiff*  
16 *The Regents of the University*  
17 *of California.*

18 **UNITED STATES DISTRICT COURT**  
19 **NORTHERN DISTRICT OF CALIFORNIA**

20 THE REGENTS OF THE UNIVERSITY OF  
21 CALIFORNIA, a California corporation,

22 Plaintiff,

23 v.

24 ROGER JINTEH ARRIGO CHEN, an  
25 individual; GENIA TECHNOLOGIES, INC.,  
26 a Delaware corporation; and DOES 1-25,

27 Defendants  
28

Case No. 3:16-cv-06925

**CERTIFICATE OF INTERESTED  
ENTITIES OR PERSONS FOR  
PLAINTIFF THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA**

1 Pursuant to Civil L.R. 3-15, the undersigned certifies that the following listed persons,  
2 associations of persons, firms, partnerships, corporations (including parent corporations) or other  
3 entities (i) have a financial interest in the subject matter in controversy or in a party to the  
4 proceeding, or (ii) have a non-financial interest in that subject matter or in a party that could be  
5 substantially affected by the outcome of this proceeding:  
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7 Oxford Nanopore Technologies Limited (formerly Oxford Nanolabs Ltd.), a corporation  
8 existing under the laws of the United Kingdom, has a financial interest in the subject matter in  
9 controversy as the exclusive licensee of certain patent applications and patents involved in this  
10 dispute; and

11 Mark A. Akeson, David W. Deamer, William B. Dunbar, and Noah A. Wilson have a  
12 financial interest in the subject matter in controversy as potential recipients of royalties.  
13

14 DATE: December 29, 2016

BAKER BOTTS LLP

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16 By: /s/ Stuart C. Plunkett  
17 Stuart C. Plunkett  
18 Paul R. Morico  
19 Ariel D. House  
20 Attorneys for Plaintiff  
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